

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Applicant:

Beka SOLOMON

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For: Prevention of Protein Aggregation

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Group Art Unit:

Attorney

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Commissioner of Patents and Trademarks  
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Sir:

Prior to initial examination of the Reissue application, please add the following claims

5-87.

In the Claims:

✓ 5. (New) A method of preventing or reducing aggregation of an aggregating protein or of disaggregating preaggregated aggregates of said aggregating protein, the method comprising the step of administering to a subject a therapeutically effective amount of an anti-aggregation molecule, said anti-aggregation molecule being capable of binding to a bioactive native or an aggregated form of said aggregating protein with a high binding constant, being non-inhibitory to the biological activity of said aggregating protein and being capable of preventing or reducing aggregation of said aggregating protein or disaggregating aggregates of said aggregating protein, thereby preventing or reducing aggregation of said aggregating protein or disaggregating aggregates of said aggregating protein.

6. (New) The method of claim 5, wherein said anti-aggregation molecule is a monoclonal antibody.

7. (New) The method of claim 5, wherein said anti-aggregation molecule is a genetically engineered antigen binding fragment and of an antibody.

8. (New) The method of claim 5, wherein said anti-aggregation molecule is a single chain monoclonal antibody.

9. (New) The method of claim 5, wherein said aggregating protein is selected from the group consisting of carboxypeptidase A, amylin, bombesin, caerulein, cholecystokinin octapeptide, eledoisin, gastrin-related pentapeptide, gastrin tetrapeptide, somatostatin (reduced), substance P, luteinizing hormone, releasing hormone, somatostatin N-Tyr and  $\beta$ -amyloid.

10. (New) The method of claim 5, wherein said subject suffers from Alzheimer's disease.

11. (New) An agent for preventing or reducing aggregation of an aggregating protein or for disaggregating preaggregated aggregates of said aggregating protein, the agent comprising an anti-aggregation molecule, said anti-aggregation molecule being capable of binding to a bioactive native or an aggregated form of said aggregating protein with a high binding constant, being non-inhibitory to the biological activity of said aggregating protein and being capable of preventing or reducing aggregation of said aggregating protein or disaggregating aggregates of said aggregating protein.

12. (New) The agent of claim 11, wherein said anti-aggregation molecule is a monoclonal antibody.

13. (New) The agent of claim 11, wherein said anti-aggregation molecule is a genetically engineered antigen binding fragment and of an antibody.

14. (New) The agent of claim 12, wherein said anti-aggregation molecule is a single chain monoclonal antibody.

15. (New) The agent of claim 11, wherein said aggregating protein is selected from the group consisting of carboxypeptidase A, amylin, bombesin, caerulein, cholecystokinin octapeptide, eledoisin, gastrin-related pentapeptide, gastrin tetrapeptide, somatostatin (reduced), substance P, luteinizing hormone, releasing hormone, somatostatin N-Tyr and  $\beta$ -amyloid.

✓ 16. (New) A pharmaceutical composition for preventing or reducing aggregation of an aggregating protein or for disaggregating preaggregated aggregates of said aggregating protein, the pharmaceutical composition comprising, as an active ingredient, a therapeutically effective amount of an anti-aggregation molecule, said anti-aggregation molecule being capable of binding to a bioactive native or an aggregated form of said aggregating protein with a high binding constant, being non-inhibitory to the biological activity of said aggregating protein and being capable of preventing or reducing aggregation of said aggregating protein or disaggregating aggregates of said aggregating protein, the pharmaceutical composition further comprising a pharmaceutically acceptable carrier.

17. (New) The pharmaceutical composition of claim 16, wherein said anti-aggregation molecule is a monoclonal antibody.

18. (New) The pharmaceutical composition of claim 16, wherein said anti-aggregation molecule is a genetically engineered antigen binding fragment and of an antibody.

19. (New) The pharmaceutical composition of claim 16, wherein said anti-aggregation molecule is a single chain monoclonal antibody.

20. (New) The pharmaceutical composition of claim 16, wherein said aggregating protein is selected from the group consisting of carboxypeptidase A, amylin, bombesin, caerulein, cholecystokinin octapeptide, eldoisin, gastrin-related pentapeptide, gastrin tetrapeptide, somatostatin (reduced), substance P, luteinizing hormone, releasing hormone, somatostatin N-Tyr and  $\beta$ -amyloid.

21. (New) A method of preventing or reducing aggregation of an aggregating protein or of disaggregating preaggregated aggregates of said aggregating protein, the method comprising the step of administering to a subject a therapeutically effective amount of an expression vector encoding, in an expressible form, an anti-aggregation molecule, said anti-aggregation molecule being capable of binding to a bioactive native or an aggregated form of said aggregating protein with a high binding constant, being non-inhibitory to the biological activity of said aggregating protein and being capable of preventing or reducing aggregation of said aggregating protein or disaggregating aggregates of said aggregating protein, thereby preventing or reducing aggregation of said aggregating protein or disaggregating aggregates of said aggregating protein.

22. (New) The method of claim 21, wherein said anti-aggregation molecule is a monoclonal antibody.

23. (New) The method of claim 21, wherein said anti-aggregation molecule is a genetically engineered antigen binding fragment and of an antibody.

24. (New) The method of claim 21, wherein said anti-aggregation molecule is a single chain monoclonal antibody.

25. (New) The method of claim 21, wherein said aggregating protein is selected from the group consisting of carboxypeptidase A, amylin, bombesin, caerulein, cholecystokinin octapeptide, eledoisin, gastrin-related pentapeptide, gastrin tetrapeptide, somatostatin (reduced), substance P, luteinizing hormone, releasing hormone, somatostatin N-Tyr and  $\beta$ -amyloid.

26. (New) The method of claim 21, wherein said subject suffers from Alzheimer's disease.

✓ 27. (New) An agent for preventing or reducing aggregation of an aggregating protein or for disaggregating preaggregated aggregates of said aggregating protein, the agent comprising an expression vector encoding in an expressible form an anti-aggregation molecule, said anti-aggregation molecule being capable of binding to a bioactive native or an aggregated form of said aggregating protein with a high binding constant, being non-inhibitory to the biological activity of said aggregating protein and being capable of preventing or reducing aggregation of said aggregating protein or disaggregating aggregates of said aggregating protein.

28. (New) The agent of claim 27, wherein said anti-aggregation molecule is a monoclonal antibody.

29. (New) The agent of claim 27, wherein said anti-aggregation molecule is a genetically engineered antigen binding fragment and of an antibody.

30. (New) The agent of claim 27, wherein said anti-aggregation molecule is a single chain monoclonal antibody.

31. (New) The agent of claim 27, wherein said aggregating protein is selected from the group consisting of carboxypeptidase A, amylin, bombesin, caerulein, cholecystokinin octapeptide, eledoisin, gastrin-related pentapeptide, gastrin tetrapeptide, somatostatin (reduced), substance P, luteinizing hormone, releasing hormone, somatostatin N-Tyr and  $\beta$ -amyloid.

✓ 32. (New) A pharmaceutical composition for preventing or reducing aggregation of an aggregating protein or for disaggregating preaggregated aggregates of said aggregating protein, the pharmaceutical composition comprising, as an active ingredient, a therapeutically effective amount of an expression vector encoding in an expressible form an anti-aggregation molecule, said anti-aggregation molecule being capable of binding to a bioactive native or an aggregated form of said aggregating protein with a high binding constant, being non-inhibitory to the biological activity of said aggregating protein and being capable of preventing or reducing aggregation of said aggregating protein or disaggregating aggregates of said aggregating protein, the pharmaceutical composition further comprising a pharmaceutically acceptable carrier.

33. (New) The pharmaceutical composition of claim 32, wherein said anti-aggregation molecule is a monoclonal antibody.

34. (New) The pharmaceutical composition of claim 32, wherein said anti-aggregation molecule is a genetically engineered antigen binding fragment and of an antibody.

35. (New) The pharmaceutical composition of claim 32, wherein said anti-aggregation molecule is a single chain monoclonal antibody.

36. (New) The pharmaceutical composition of claim 32, wherein said aggregating protein is selected from the group consisting of carboxypeptidase

A, amylin, bombesin, caerulein, cholecystokinin octapeptide, eledoisin, gastrin-related pentapeptide, gastrin tetrapeptide, somatostatin (reduced), substance P, luteinizing hormone, releasing hormone, somatostatin N-Tyr and  $\beta$ -amyloid.

/ 37. (New) A method of preventing or reducing aggregation of an aggregating protein or of disaggregating preaggregated aggregates of said aggregating protein, the method comprising the step of administering to a subject a therapeutically effective amount of cells expressing an anti-aggregation molecule, said anti-aggregation molecule being capable of binding to a bioactive native or an aggregated form of said aggregating protein with a high binding constant, being non-inhibitory to the biological activity of said aggregating protein and being capable of preventing or reducing aggregation of said aggregating protein or disaggregating aggregates of said aggregating protein, thereby preventing or reducing aggregation of said aggregating protein or disaggregating aggregates of said aggregating protein.

38. (New) The method of claim 37, wherein said anti-aggregation molecule is a monoclonal antibody.

39. (New) The method of claim 37, wherein said anti-aggregation molecule is a genetically engineered antigen binding fragment and of an antibody.

40. (New) The method of claim 37, wherein said anti-aggregation molecule is a single chain monoclonal antibody.

41. (New) The method of claim 37, wherein said aggregating protein is selected from the group consisting of carboxypeptidase A, amylin, bombesin, caerulein, cholecystokinin octapeptide, eledoisin, gastrin-related pentapeptide, gastrin tetrapeptide, somatostatin (reduced), substance P, luteinizing hormone, releasing hormone, somatostatin N-Tyr and  $\beta$ -amyloid.

42. (New) The method of claim 37, wherein said subject suffers from Alzheimer's disease.

✓ 43. (New) An agent for preventing or reducing aggregation of an aggregating protein or for disaggregating preaggregated aggregates of said aggregating protein, the agent comprising cells expressing an anti-aggregation molecule, said anti-aggregation molecule being capable of binding to a bioactive native or an aggregated form of said aggregating protein with a high binding constant, being non-inhibitory to the biological activity of said aggregating protein and being capable of preventing or reducing aggregation of said aggregating protein or disaggregating aggregates of said aggregating protein.

44. (New) The agent of claim 43, wherein said anti-aggregation molecule is a monoclonal antibody.

45. (New) The agent of claim 43, wherein said anti-aggregation molecule is a genetically engineered antigen binding fragment and of an antibody.

46. (New) The agent of claim 43, wherein said anti-aggregation molecule is a single chain monoclonal antibody.

47. (New) The agent of claim 43, wherein said aggregating protein is selected from the group consisting of carboxypeptidase A, amylin, bombesin, caerulein, cholecystokinin octapeptide, eledoisin, gastrin-related pentapeptide, gastrin tetrapeptide, somatostatin (reduced), substance P, luteinizing hormone, releasing hormone, somatostatin N-Tyr and  $\beta$ -amyloid.

✓ 48. (New) A pharmaceutical composition for preventing or reducing aggregation of an aggregating protein or for disaggregating preaggregated



aggregates of said aggregating protein, the pharmaceutical composition comprising, as an active ingredient, a therapeutically effective amount of cells expressing an anti-aggregation molecule, said anti-aggregation molecule being capable of binding to a bioactive native or an aggregated form of said aggregating protein with a high binding constant, being non-inhibitory to the biological activity of said aggregating protein and being capable of preventing or reducing aggregation of said aggregating protein or disaggregating aggregates of said aggregating protein, the pharmaceutical composition further comprising a pharmaceutically acceptable carrier.

49. (New) The pharmaceutical composition of claim 48, wherein said anti-aggregation molecule is a monoclonal antibody.

50. (New) The pharmaceutical composition of claim 48, wherein said anti-aggregation molecule is a genetically engineered antigen binding fragment and of an antibody.

51. (New) The pharmaceutical composition of claim 48, wherein said anti-aggregation molecule is a single chain monoclonal antibody.

52. (New) The pharmaceutical composition of claim 48, wherein said aggregating protein is selected from the group consisting of carboxypeptidase A, amylin, bombesin, caerulein, cholecystokinin octapeptide, eledoisin, gastrin-related pentapeptide, gastrin tetrapeptide, somatostatin (reduced), substance P, luteinizing hormone, releasing hormone, somatostatin N-Tyr and  $\beta$ -amyloid.

53. (New) A method of preventing or reducing aggregation of an aggregating protein or of disaggregating preaggregated aggregates of said aggregating protein, the method comprising the step of administering to a subject a therapeutically effective amount of an antigen being derived from said aggregating

protein and being capable of eliciting anti-aggregation antibodies, said anti-aggregation antibodies being capable of binding to a bioactive native or an aggregated form of said aggregating protein with a high binding constant, being non-inhibitory to the biological activity of said aggregating protein and being capable of preventing or reducing aggregation of said aggregating protein or disaggregating aggregates of said aggregating protein, thereby preventing or reducing aggregation of said aggregating protein or disaggregating aggregates of said aggregating protein.

54. (New) The method of claim 53, wherein said aggregating protein is selected from the group consisting of carboxypeptidase A, amylin, bombesin, caerulein, cholecystokinin octapeptide, eldoisin, gastrin-related pentapeptide, gastrin tetrapeptide, somatostatin (reduced), substance P, luteinizing hormone, releasing hormone, somatostatin N-Tyr and  $\beta$ -amyloid.

55. (New) The method of claim 53, wherein said subject suffers from Alzheimer's disease.

✓ 56. (New) An agent for preventing or reducing aggregation of an aggregating protein or for disaggregating preaggregated aggregates of said aggregating protein, the agent comprising an antigen being derived from said aggregating protein and being capable of eliciting anti-aggregation antibodies, said anti-aggregation antibodies being capable of binding to a bioactive native or an aggregated form of said aggregating protein with a high binding constant, being non-inhibitory to the biological activity of said aggregating protein and being capable of preventing or reducing aggregation of said aggregating protein or disaggregating aggregates of said aggregating protein.

57. (New) The agent of claim 56, wherein said aggregating protein is selected from the group consisting of carboxypeptidase A, amylin,

bombesin, caerulein, cholecystokinin octapeptide, eldoisin, gastrin-related pentapeptide, gastrin tetrapeptide, somatostatin (reduced), substance P, luteinizing hormone, releasing hormone, somatostatin N-Tyr and  $\beta$ -amyloid.

✓ 58. (New) A pharmaceutical composition for preventing or reducing aggregation of an aggregating protein or for disaggregating preaggregated aggregates of said aggregating protein, the pharmaceutical composition comprising, as an active ingredient, a therapeutically effective amount of an antigen being derived from said aggregating protein and being capable of eliciting anti-aggregation antibodies, said anti-aggregation antibodies being capable of binding to a bioactive native or an aggregated form of said aggregating protein with a high binding constant, being non-inhibitory to the biological activity of said aggregating protein and being capable of preventing or reducing aggregation of said aggregating protein or disaggregating aggregates of said aggregating protein, the pharmaceutical composition further comprising a pharmaceutically acceptable carrier.

59. (New) The pharmaceutical composition of claim 58, wherein said aggregating protein is selected from the group consisting of carboxypeptidase A, amylin, bombesin, caerulein, cholecystokinin octapeptide, eldoisin, gastrin-related pentapeptide, gastrin tetrapeptide, somatostatin (reduced), substance P, luteinizing hormone, releasing hormone, somatostatin N-Tyr and  $\beta$ -amyloid.

✓ 60. (New) A method of preventing or reducing aggregation of an aggregating protein or of disaggregating preaggregated aggregates of said aggregating protein, the method comprising the step of administering to a subject a therapeutically effective amount of an expression vector encoding, in an expressible form, an antigen being derived from said aggregating protein and being capable of eliciting anti-aggregation antibodies, said anti-aggregation antibodies being capable of binding to a bioactive native or an aggregated form of said

aggregating protein with a high binding constant, being non-inhibitory to the biological activity of said aggregating protein and being capable of preventing or reducing aggregation of said aggregating protein or disaggregating aggregates of said aggregating protein, thereby preventing or reducing aggregation of said aggregating protein or disaggregating aggregates of said aggregating protein.

61. (New) The method of claim 60, wherein said aggregating protein is selected from the group consisting of carboxypeptidase A, amylin, bombesin, caerulein, cholecystokinin octapeptide, eldoisin, gastrin-related pentapeptide, gastrin tetrapeptide, somatostatin (reduced), substance P, luteinizing hormone, releasing hormone, somatostatin N-Tyr and  $\beta$ -amyloid.

62. (New) The method of claim 60, wherein said subject suffers from Alzheimer's disease.

63. (New) An agent for preventing or reducing aggregation of an aggregating protein or for disaggregating preaggregated aggregates of said aggregating protein, the agent comprising an expression vector encoding, in an expressible form, an antigen being derived from said aggregating protein and being capable of eliciting anti-aggregation antibodies, said anti-aggregation antibodies being capable of binding to a bioactive native or an aggregated form of said aggregating protein with a high binding constant, being non-inhibitory to the biological activity of said aggregating protein and being capable of preventing or reducing aggregation of said aggregating protein or disaggregating aggregates of said aggregating protein.

64. (New) The agent of claim 63, wherein said aggregating protein is selected from the group consisting of carboxypeptidase A, amylin, bombesin, caerulein, cholecystokinin octapeptide, eldoisin, gastrin-related

pentapeptide, gastrin tetrapeptide, somatostatin (reduced), substance P, luteinizing hormone, releasing hormone, somatostatin N-Tyr and  $\beta$ -amyloid.

✓65. (New) A pharmaceutical composition for preventing or reducing aggregation of an aggregating protein or for disaggregating preaggregated aggregates of said aggregating protein, the pharmaceutical composition comprising, as an active ingredient, a therapeutically effective amount of an expression vector encoding, in an expressible form, an antigen being derived from said aggregating protein and being capable of eliciting anti-aggregation antibodies, said anti-aggregation antibodies being capable of binding to a bioactive native or an aggregated form of said aggregating protein with a high binding constant, being non-inhibitory to the biological activity of said aggregating protein and being capable of preventing or reducing aggregation of said aggregating protein or disaggregating aggregates of said aggregating protein, the pharmaceutical composition further comprising a pharmaceutically acceptable carrier.

66. (New) The pharmaceutical composition of claim 65, wherein said aggregating protein is selected from the group consisting of carboxypeptidase A, amylin, bombesin, caerulein, cholecystokinin octapeptide, eleodoisin, gastrin-related pentapeptide, gastrin tetrapeptide, somatostatin (reduced), substance P, luteinizing hormone, releasing hormone, somatostatin N-Tyr and  $\beta$ -amyloid.

✓67. (New) A method of preventing or reducing aggregation of an aggregating protein or of disaggregating preaggregated aggregates of said aggregating protein, the method comprising the step of administering to a subject a therapeutically effective amount of cells expressing an antigen being derived from said aggregating protein and being capable of eliciting anti-aggregation antibodies, said anti-aggregation antibodies being capable of binding to a bioactive native or an aggregated form of said aggregating protein with a high binding constant, being non-inhibitory to the biological activity of said aggregating protein and being

capable of preventing or reducing aggregation of said aggregating protein or disaggregating aggregates of said aggregating protein, thereby preventing or reducing aggregation of said aggregating protein or disaggregating aggregates of said aggregating protein.

68. (New) The method of claim 67, wherein said aggregating protein is selected from the group consisting of carboxypeptidase A, amylin, bombesin, caerulein, cholecystokinin octapeptide, eldoisin, gastrin-related pentapeptide, gastrin tetrapeptide, somatostatin (reduced), substance P, luteinizing hormone, releasing hormone, somatostatin N-Tyr and  $\beta$ -amyloid.

69. (New) The method of claim 67, wherein said subject suffers from Alzheimer's disease.

✓70. (New) An agent for preventing or reducing aggregation of an aggregating protein or for disaggregating preaggregated aggregates of said aggregating protein, the agent comprising cells expressing an antigen being derived from said aggregating protein and being capable of eliciting anti-aggregation antibodies, said anti-aggregation antibodies being capable of binding to a bioactive native or an aggregated form of said aggregating protein with a high binding constant, being non-inhibitory to the biological activity of said aggregating protein and being capable of preventing or reducing aggregation of said aggregating protein or disaggregating aggregates of said aggregating protein.

71. (New) The agent of claim 70, wherein said aggregating protein is selected from the group consisting of carboxypeptidase A, amylin, bombesin, caerulein, cholecystokinin octapeptide, eldoisin, gastrin-related pentapeptide, gastrin tetrapeptide, somatostatin (reduced), substance P, luteinizing hormone, releasing hormone, somatostatin N-Tyr and  $\beta$ -amyloid.

✓ 72. (New) A pharmaceutical composition for preventing or reducing aggregation of an aggregating protein or for disaggregating preaggregated aggregates of said aggregating protein, the pharmaceutical composition comprising, as an active ingredient, a therapeutically effective amount of cells expressing an antigen being derived from said aggregating protein and being capable of eliciting anti-aggregation antibodies, said anti-aggregation antibodies being capable of binding to a bioactive native or an aggregated form of said aggregating protein with a high binding constant, being non-inhibitory to the biological activity of said aggregating protein and being capable of preventing or reducing aggregation of said aggregating protein or disaggregating aggregates of said aggregating protein, the pharmaceutical composition further comprising a pharmaceutically acceptable carrier.

73. (New) The pharmaceutical composition of claim 72, wherein said aggregating protein is selected from the group consisting of carboxypeptidase A, amylin, bombesin, caerulein, cholecystokinin octapeptide, eleodoisin, gastrin-related pentapeptide, gastrin tetrapeptide, somatostatin (reduced), substance P, luteinizing hormone, releasing hormone, somatostatin N-Tyr and  $\beta$ -amyloid.

✓ 74. (New) A pharmaceutical composition comprising an agent effective to induce an immunogenic response against  $\beta$ -amyloid in a patient, and a pharmaceutically acceptable adjuvant.

75. (New) The pharmaceutical composition of claim 74, wherein the agent is  $\beta$ -amyloid or an active fragment thereof.

✓ 76. (New) A method of preventing or treating a disease characterized by amyloid deposit in a patient, comprising the step of administering an agent effective to induce an immune response against a peptide component of an amyloid deposit in the patient.

77. (New) The method of claim 76, wherein the amyloid deposit comprises aggregated  $\beta$ -amyloid peptide.

78. (New) The method of claim 76, wherein the patient is a human.

79. (New) The method of claim 76, wherein the disease is Alzheimer's disease.

80. (New) The method of claim 76, wherein the agent comprises  $\beta$ -amyloid peptide or an active fragment thereof.

81. (New) The method of claim 76, wherein said agent is an antibody to  $\beta$ -amyloid which induces an immune response by binding to  $\beta$ -amyloid in the patient.

82. (New) The method of claim 76, further comprising screening a library of compounds to identify a compound reactive with antibodies to  $\beta$ -amyloid, and administering the compound to the patient to induce the immune response.

83. (New) The method of claim 76, wherein the agent is an effective dose of a nucleic acid encoding  $\beta$ -amyloid or an active fragment thereof, whereby the nucleic acid is expressed in the patient to produce  $\beta$ -amyloid or the active fragment thereof, which induces the immune response.

84. (New) A method of preventing or treating Alzheimer's disease comprising administering an effective dose of  $\beta$ -amyloid peptide to a patient.

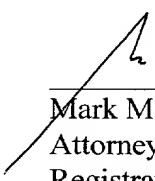


✓ 85. (New) Use of  $\beta$ -amyloid peptide, or an antibody thereto, in the manufacture of a medicament for prevention or treatment of Alzheimer's disease.

✓ 86. (New) A pharmaceutical composition comprising an agent effective to induce an immunogenic response against  $\beta$ -amyloid in a patient with the proviso that the composition is free of Complete Freund's adjuvant.

✓ 87. (New) A composition comprising a viral vector encoding  $\beta$ -amyloid or a fragment thereof effective to induce an immune response against  $\beta$ -amyloid.

Respectfully submitted,



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## PREVENTION OF PROTEIN AGGREGATION

### TECHNICAL FIELD

The present invention relates to the use of monoclonal antibodies, genetically engineered antibody fragments and small peptides which mimic antigen binding sites on the antibody for the prevention of protein aggregation in vivo and in vitro.

### BACKGROUND OF THE INVENTION

When proteins are synthesized they generally must fold and assemble into a three dimensional form to be active. Initially, it was thought that proper folding was inherent in the amino acid sequence. Recent work has shown that additional proteins, now referred to as molecular chaperones, are required to mediate the folding process or unregulated aggregation of the polypeptides will occur preventing the formation of functional proteins (Goloubinoff et al., 1989; Welch, 1993). However, despite the existence of chaperones, aggregation of protein still occurs in vivo and can contribute to, or cause, various disease states.

Other factors must contribute to the occurrence of aggregation. These factors can include mutations of naturally occurring chaperones inhibiting function or allowing function with low efficiency (Wetzel, 1994). Further, "pathological", chaperones have been found which have been defined as "a group of unrelated proteins that induce beta-pleated conformation in amyloidogenic polypeptides" (Wisniewski and Frangione, 1992). It would be useful to be able to replace or augment the activity of the chaperones where necessary and to counteract the activity of pathological chaperones when present.

Protein aggregation is of major importance in biotechnology for the in vitro production of recombinant proteins. In vitro aggregation limits the protein stability, solubility and yields in production of recombinant proteins. In cells during production of recombinant proteins, aggregation is a major impediment of recombinant proteins leading to formation of inclusion bodies in the host cells (DeYoung et al. 1993; Wetzel, 1994; Vandenbroeck et al., 1993).

Further, in vivo protein aggregation or precipitation is the cause, or an associated pathological symptom, in amyloid diseases such as Down's syndrome, Alzheimer's disease, diabetes and/or cataracts, and in other disorders (DeYoung et al., 1993; Haass and Selkoe, 1993; Wetzel, 1994).

Several peptides including  $\beta$ -amyloid, have been shown to spontaneously self-associate, or aggregate, into linear, unbranched fibrils in serum or in isotonic saline (Banks and Kastin, 1992; Haass and Selkoe, 1993). At least fifteen different polypeptides are known to be capable of causing in vivo different forms of amyloidosis via their deposition in particular organs or tissues as insoluble protein fibrils. Iron, zinc, chromium or aluminum can participate in this aggregation (Bush et al., 1994).

Molecular chaperones were initially recognized as stress proteins produced in cells requiring repair. In particular, studies of heat shock on enzymes led the way to the discovery of molecular chaperones that function not only during cellular stress but normally to produce properly folded proteins. The heat shock model is still one of the models of choice in studying molecular chaperones (Welch, 1993; Goloubinoff et al., 1989).

Molecular chaperones are a ubiquitous family of proteins that mediate the post-translational folding and assembly of other unrelated proteins into oligomeric structures. They are

further defined as molecules whose functions are to prevent the formation of incorrect structures and to disrupt any that form. The chaperones non-covalently bind to the interactive surface of the protein. This binding is reversed under circumstances that favor the formation of the correct structure by folding. Chaperones have not been shown to be specific for only one protein but rather act on families of proteins which have the same stoichiometric requirements, i.e. specific domains are recognized by chaperones. This does not provide the specificity required for therapeutic activity.

Further uses and descriptions of molecular chaperones are set forth in PCT published international patent application 93/11248, 93/13200, 94/08012 and 94/11513 incorporated herein by reference and in particular 94/08012 page 2 line 20 through page 5, line 14.

PCT published international patent application 93/11248 discloses the use of a chaperone in cell culture to promote efficient production of protein in transformed cells by co-expression of the chaperone molecule. This disclosure does not provide specificity as to which proteins are protected except through co-expression with the wanted protein nor does it provide information on how to use chaperones therapeutically.

PCT published international patent application 93/13200 discloses the use of a chaperone in a purification step for a recombinant protein isolated from a cell culture and also a fusion protein of the chaperone and recombinant protein. This disclosure also does not provide specificity as to which proteins are protected except through co-expression with the wanted protein nor does it provide information on how to use chaperones therapeutically.

PCT published international patent application 94/08012 discloses the use of a chaperone in cell culture to promote increased secretion of an overexpressed gene product in a host cell. This disclosure does not provide specificity as to which proteins are protected except through co-expression with the wanted protein nor does it provide information on how to use chaperones therapeutically.

PCT published international patent application 94/11513 discloses the use of a vector containing a molecular chaperone for treating neoplasms. This disclosure does not provide specificity as to which proteins are protected except through co-expression with the wanted protein nor does it provide information on how to use chaperones therapeutically to treat diseases or syndromes which involve protein aggregation.

In each of the aforementioned publications, the chaperones did not bind to native proteins and did not redissolve aggregated proteins.

Recent reports suggest that monoclonal antibodies (mAb) can have chaperone-like activity. The feasibility of using monoclonal antibodies to assist in the in vitro refolding process of guanidine-denatured S-protein was reported recently (Carlson and Yarmush, 1992). Previously, Blond and Goldberg (1987) used monoclonal antibodies as a tool in the identification and characterization of folding steps that involve the appearance of local native-like structures in B<sub>2</sub> subunit of tryptophan synthase. Since the mAb is epitope specific, the use of mAb provides more specificity than molecular chaperones. mAbs can be sought and engineered (Haber, 1992) that bind to the particular epitope in the protein of interest that is involved in the folding process.

The main difference between mAbs and molecular chaperones is that the latter does not bind to native proteins and is capable of interacting with many different polypeptide chains without exhibiting an apparent sequence preference

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(Goloubinof et al., 1989). Moreover, chaperones suppress aggregation but do not redissolve aggregate already present. Similar behavior was recently reported for  $\alpha$ -crystallin which, similar to other chaperones, does not react with active proteins, but forms a stable complex with denaturing or partially unfolded proteins, stabilizing against further aggregation (Rao et al., 1994).

Aggregated amyloid  $\beta$ -protein ( $\beta$ A4) is a major constituent of the abnormal extracellular amyloid plaque that characterizes the brains of victims of Alzheimer's disease (AD) (Haass and Selkoe, 1993). In vitro studies have shown that some of the metal ions found in biological systems, i.e. Fe, Al and Zn, can accelerate the aggregation process dramatically. The presence of "pathological" chaperones (Wisniewski and Frangione, 1992) and the above listed metals (Mantyh et al., 1993; Fraser et al., 1993) as proposed risk factors in Alzheimer's disease, favor  $\beta$ -amyloid cascade aggregation. If the interaction between the metal ion and the  $\beta$ -amyloid can be interrupted or prevented, then metal-induced aggregation can be reduced or eliminated. However, just binding a mAb at this site might prevent the metal-induced aggregation but would not allow normal functioning of the protein.

It would therefore be useful to develop the appropriate mAb with chaperone-like characteristics directed to the appropriate epitope on the  $\beta$ -amyloid molecule in order to prevent the accelerated metal-induced aggregation.

Further, it would be particularly useful to be able to develop a mAb as needed that prevents the aggregation of enzymes in vivo but that still allows the enzymes to function.

Still further, it is not always possible to isolate the appropriate chaperone for preventing aggregation of a molecule and to utilize it as a therapeutic. The availability of engineering and selecting mAbs and delivery systems for mAb makes it useful to develop specific mAb to serve as therapeutic chaperones.

#### SUMMARY OF THE INVENTION AND ADVANTAGES

According to the present invention, a method is provided of selecting anti-aggregation molecule such as a monoclonal antibody, a genetically engineered antibody fragment or a peptide which mimics the binding site of an antibody. These anti-aggregation molecules are able to bind to a native target molecule epitope with a high binding constant and must be non-inhibitory to biological activity of the target molecule.

The present invention further provides a method of treating a protein aggregation disease by creating an expression vector comprising nucleic acid including a sequence which encodes in expressible form the human form of the anti-aggregation molecule that binds to a native target molecule, an aggregating protein, and which prevents aggregation and allows biological activity of the target molecule.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Other advantages of the present invention will be readily appreciated as the same becomes better understood by reference to the following detailed description when considered in connection with the accompanying drawings wherein:

FIG. 1 is a bar graph of the temperature-dependence of enzymic activity of Carboxypeptidase A; the residual enzymic activity of CPA after one hour incubation at increasing temperatures was measured using esterase substrate;

FIG. 2 is a bar graph of the time course of denaturation of Carboxypeptidase A after exposure at 50° C.; the residual esterase (single cross-hatch bars) and peptidase (open bars) enzymic activity of CPA was measured at two intervals of incubation at 50° C.; the amount of residual soluble enzyme was determined by sandwich ELIAS (bars of diagonal lines);

FIG. 3 is a bar graph of the enzymic activity of Carboxypeptidase A retained after exposure to 50° C. for one hour in the presence of monoclonal antibody CP<sub>10</sub>; the immuno-complexation of CPA with increasing amounts of CP<sub>10</sub> was performed before exposure at 50° C. for one hour; the residual peptidase (open bars) and esterase (single cross-hatch bars) enzymic activity of CPA was measured;

FIG. 4 is a bar graph of the effect of epitope location on the maintenance of the enzymic activity of heat-exposed Carboxypeptidase A; increasing amounts of monoclonal antibodies CP<sub>10</sub> (single cross-hatch bars) and CP<sub>9</sub> (diagonal lines) and unrelated IgG (bars with diagonal lines) were added to CPA before exposure to 50° C. for one hour and esterase enzymic activity was measured;

FIG. 5 is a bar graph of the prevention of aggregation of Carboxypeptidase A by monoclonal antibody CP<sub>10</sub>; aggregation of CPA in the presence (bars with right slanting diagonal lines) and in the absence (single cross-hatch bars) of antibodies, was followed by determination of amount of mAb bound to coated CPA in a competitive ELISA; the absorbance at 495 nm obtained in the absence of added soluble CPA was set at 100% for bound antibody; the soluble CPA, before heat exposure, competes with the coated CPA for antibody binding, leading to decrease in amount of antibody bound (60%) (bars with left slanting diagonal lines);

FIG. 6 is a bar graph showing thermal aggregation of Carboxypeptidase A and its suppression by monoclonal antibodies CP<sub>10</sub> and CP<sub>9</sub>; aggregation of Carboxypeptidase A after exposure at 50° C. for one hour in the absence (open bars) of monoclonal antibodies and in the presence of CP<sub>10</sub> (bars with diagonal lines) and CP<sub>9</sub> (double cross-hatch) was followed by determination of amount of antibody bound by sandwich ELISA; maximum binding (100%) was considered the amount of antibody bound to CPA before exposure to aggregation conditions;

FIGS. 7A and 7B are a pair of graphs (A and B) showing aggregation of  $\beta$ -amyloid (1-40) in the absence (diagonal lines bars) and in the presence (open bars) of monoclonal antibodies AMY-33 (A) and 6F/3D (B) followed by ELISA: (1)  $\beta$ -amyloid alone, (2)  $\beta$ -amyloid+50 MM heparan sulfate, (3)  $\beta$ -amyloid+10<sup>-3</sup>M AlCl<sub>3</sub>; (4)  $\beta$ -amyloid+10<sup>-3</sup>M ZnCl<sub>2</sub>; and

FIG. 8 shows schematic diagram of  $\beta$ -amyloid (1-40) with horizontal lines representing the regions against which monoclonal antibodies were produced, vertical lines and shaded rectangular areas represent the heparan sulfate binding sites (residues 12-17, dark shaded), the proposed toxic fragment (residues 25-35) and the putative epitope of mAb AMY-33 (sequence 25-28, light shaded).

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

The present invention provides a method of selecting monoclonal antibodies, genetically engineered antibody fragments and small peptides which mimic binding sites of the antibodies and which prevent aggregation and yet do not inhibit bioactivity. These anti-aggregation molecules with chaperone-like activity are able to bind to a native target

molecule epitope with a high binding constant and must be non-inhibitory to the biological activity of the target molecule. The method includes culturing an appropriate host cell transformed with DNA encoding the target molecule. The host cell chosen will express the target molecule in aggregated form. Examples of such cells are set forth in PCT published international patent application 93/11248, 93/13200 and 94/08012. Alternatively, the appropriate recombinant target molecule can be purchased or a naturally occurring molecule can be isolated or purchased.

The expressed target molecule is recovered and denatured. The denatured target molecule is mixed with the presumptive anti-aggregation molecule such as a monoclonal antibody, genetically engineered antibody fragment or small peptide which mimics an antibody binding site generally as set forth in PCT pending application 93/13200 and under conditions which allow for self-aggregation, temperature, pH or interaction with other aggregation-inducing agents. It is then determined if the mixture produces nonaggregated target molecules that are bioactive even in the presence of, and bound to, the presumptive anti-aggregation molecule.

In addition, the anti-aggregation molecule is screened for its ability to dissolve already aggregated proteins. The aggregated proteins are mixed with the anti-aggregation molecules under physiological conditions. It is then determined if the mixture produces nonaggregated target molecules that are bioactive even in the presence of, and bound to, the presumptive anti-aggregation molecule.

The antibodies, or peptide mimicking the binding site, must bind to an epitope on the target molecule which is a region responsible for folding or aggregation. In addition the anti-aggregation molecule is selected only if it does not show immune cross reactivity with other proteins with proximity to the target molecules under the same conditions employed in the bioactivity tests; that is, molecules which are found in the cell near the target molecule or molecules with sequences similar to the target molecules.

After the identification of the anti-aggregation molecules has been completed, it is possible to utilize two or more to prevent or reverse aggregation. They can be used concurrently to increase their chaperone-like effect, if their respective target epitopes are not overlapping and if, in binding to the target molecule, they do not interfere with each other.

Bioactivity is tested as is appropriate for the target molecule. For example, enzymatic activity of the target molecule for its substrate can be measured. Assays which measure in vitro enzymatic bioactivity are well known to those skilled in the art.

In the preferred embodiment of the method, the target molecule is  $\beta$ -amyloid and the monoclonal antibody is an anti- $\beta$ -amyloid monoclonal. Alternatively, a genetically engineered antibody fragment as described hereinbelow can be used or a small peptide which mimics the antigen binding site of the antibody. The antigen binding site of an antibody can be determined from the DNA sequence of the respective CDR fragments.

The method has also been demonstrated with carboxypeptidase A as set forth in the Examples hereinbelow.

Other peptides or proteins with evidence of self aggregation can also be used in the present invention such as amylin (Young et al., 1994); bombesin, caerulein, cholecystokinin octapeptide, eldoisin, gastrin-related pentapeptide, gastrin tetrapeptide, somatostatin (reduced), substance P; and peptide, luteinizing hormone releasing hormone, somatostatin N-Tyr (Banks and Kastin, 1992).

Once an appropriate monoclonal antibody with chaperone-like activity is found or engineered or a peptide with the appropriate configuration, the present invention provides for its use therapeutically to prevent or reduce protein aggregation *in vivo*. In the preferred embodiment, the prevention of  $\beta$ -amyloid aggregation is undertaken.

A method of treating a protein aggregation disease intracellularly includes the steps of preparing (Haber, 1992; Harlow & Lane, 1988) or selecting an anti-aggregation molecule, such as a monoclonal antibody, genetically engineered monoclonal antibody fragment or peptide that mimics the binding site of an antibody, that binds to an aggregating protein which is the cause of a disease and which prevents aggregation and yet allows the protein to be bioactive. This molecule can be referred to as an anti-aggregation molecule with chaperone-like activity. An expression vector is created comprising nucleic acid including a sequence which encodes in expressible form the anti-aggregation molecule. The expression vector is then delivered to the patient.

In the preferred embodiment the human monoclonal antibody that binds to an aggregating protein and which prevents aggregation is utilized. In a further preferred embodiment the monoclonal antibody is an anti- $\beta$ -amyloid and is designated AMY-33 which recognizes amino acids 1-28 of  $\beta$ -amyloid.

Work by Dueñas et al. (1994) and Marasco et al. (1993) have shown that single chain monoclonal antibodies are efficient for intracellular expression in eukaryotic cells. The single chain monoclonal antibody is composed of an immunoglobulin heavy chain leader sequence and heavy and light chain variable regions that are joined by an interchain linker. Marasco et al. (1993) have shown that such antibodies are not toxic to the cells and function when expressed in the cell.

The production of expression vectors is well known to those skilled in the art. In a preferred embodiment, the expression vector is constructed using the methodology as set forth by Dueñas et al. (1994), PCT pending application 94/11513. Methods not explicitly set forth are performed as generally set forth in Sambrook et al., *Molecular Cloning: A Laboratory Manual*, Cold Springs Harbor Laboratory, New York (1992), and in Ausubel et al., *Current Protocols in Molecular Biology*, John Wiley and Sons, Baltimore, Md. (1989).

Such vectors are known or can be constructed by those skilled in the art and should contain all expression elements necessary to achieve the desired transcription of the sequences. Other beneficial characteristics can also be contained within the vectors such as mechanisms for recovery of the nucleic acids in a different form. Phagemids are a specific example of such beneficial vectors because they can be used either as plasmids or as bacteriophage vectors. Examples of other vectors include viruses such as bacteriophages, baculoviruses and retroviruses, DNA viruses, cosmids, plasmids, liposomes and other recombination vectors. The vectors can also contain elements for use in either procaryotic or eucaryotic host systems. One of ordinary skill in the art will know which host systems are compatible with a particular vector.

The expression vector can be a virus. Further the virus can be an RNA virus such as a disabled retro virus or a retroviral shuttle vector. The expression vector can also be vaccinia virus or an adenovirus. The expression vector can also be a plasmid. In a preferred embodiment wherein  $\beta$ -amyloid in the targeted molecule the expression vector is selected that is known to target the central nervous system.

In the present invention, the expression vector for use as a therapeutic agent comprises a nucleic acid including at least one sequence which encodes in expressible form an anti-aggregation molecule, which molecule binds to an aggregating protein that is the cause of a disease and which prevents aggregation but does not interfere with bioactivity. In a preferred embodiment the expression vector includes the sequence for a human monoclonal antibody that is an anti- $\beta$ -amyloid monoclonal antibody with heparan-like characteristics. In a further preferred embodiment, the expression vector includes the sequence for the single chain monoclonal antibody of the above anti- $\beta$ -amyloid mAb.

A specific example of DNA vital vector for introducing and expressing recombinant sequences is the adenovirus derived vector Adenop53TK. This vector expresses a herpes virus thymidine kinase (TK) gene for either positive or negative selection and an expression cassette for desired recombinant sequences. This vector can be used to infect cells that have an adenovirus receptor. This vector as well as others that exhibit similar desired functions can be used to treat a mixed population of cells and can include, for example, an in vitro or ex vivo culture of cells, a tissue or a human subject.

Additional features can be added to the vector to ensure its safety and/or enhance its therapeutic efficacy. Such features include, for example, markers that can be used to negatively select against cells infected with the recombinant virus such as antibiotic sensitivity. Negative selection is therefore a means by which infection can be controlled because it provides inducible suicide through the addition of antibiotic. Such protection ensures that if, for example, mutations arise that produce altered forms of the vital vector or recombinant sequence, cellular transformation will not occur. Features that limit expression to particular cell types can also be included. Such features include, for example, promoter and regulatory elements that are specific for the desired cell type.

In addition, recombinant vital vectors are useful for in vivo expression of a desired nucleic acid because they offer advantages such as lateral infection and targeting specificity. Lateral infection is inherent in the life cycle of, for example, retrovirus and is the process by which a single infected cell produces many progeny virions that bud off and infect neighboring cells. The result is that a large area becomes rapidly infected, most of which was not initially infected by the original vital particles. This is in contrast to vertical-type of infection in which the infectious agent spreads only through daughter progeny. Viral vectors can also be produced that are unable to spread laterally. This characteristic can be useful if the desired purpose is to introduce a specified gene into only a localized number of targeted cells.

As described above, viruses are very specialized infectious agents that have evolved, in many cases, to elude host defense mechanisms. Typically, viruses infect and propagate in specific cell types. The targeting specificity of vital vectors utilizes its natural specificity to specifically target predetermined cell types and thereby introduce a recombinant gene into the infected cell. The vector to be used in the methods of the invention will depend on desired cell type to be targeted and will be known to those skilled in the art. For example, if breast cancer is to be treated then a vector specific for such epithelial cells would be used. Likewise, if diseases or pathological conditions of the hematopoietic system are to be treated, then a vital vector that is specific for blood cells and their precursors, preferably for the specific type of hematopoietic cell, would be used.

Retroviral vectors can be constructed to function either as infectious particles or to undergo only a single initial round



The expression vector containing the sequence for the anti-aggregation molecule may be administered to mammals, including humans, by any route appropriate to the condition being treated and in several ways. Suitable routes include oral, rectal, nasal, topical, vaginal and parenteral. It will be appreciated that the preferred route may vary with, for example, the condition of the recipient and the type of treatment envisaged.

0 The vectors can be introduced into cells or tissues by any one of a variety of known methods within the art. Such methods can be found described in Sambrook et al. and Ausubel et al., and include, for example, stable or transient transfection, lipofection, electroporation and infection with  
5 recombinant viral vectors. Introduction of nucleic acids by infection offers several advantages over the other listed methods. Higher efficiency can be obtained due to their infectious nature. Moreover, viruses are very specialized and typically infect and propagate in specific cell types. Thus,  
0 their natural specificity can be used to target the vectors to specific cell types in vivo or within a tissue or mixed culture of cells. Viral vectors can also be modified with specific receptors or ligands to alter target specificity through receptor mediated events.

5 An alternate mode of administration of the vector can be by direct inoculation locally at the site of the disease or pathological condition or by inoculation into the vascular system supplying the site with nutrients. Local administration is advantageous because there is no dilution effect and, therefore, a smaller dose is required to achieve expression in a majority of the targeted cells. Additionally, local inoculation can alleviate the targeting requirement required with other forms of administration since a vector can be used that infects all cells in the inoculated area. If expression is desired in only a specific subset of cells within the inoculated area, then promoter and regulatory elements that are specific for the desired subset can be used to accomplish this

goal. Such non-targeting vectors can be, for example, viral vectors, viral genome, plasmids, phagemids and the like. Transfection vehicles such as liposomes can also be used to introduce the non-viral vectors described above into recipient cells within the inoculated area. Such transfection vehicles are known by one skilled within the art.

The expression vector of the present invention may be administered to the patient alone or in combination with liposomes or other delivery molecules. The expression vector is administered and dosed in accordance with good medical practice, taking into account the clinical condition of the individual patient, the site and method of administration, scheduling of administration, and other factors known to medical practitioners. The "effective amount" for purposes herein is thus determined by such considerations as are known in the art. The amount must be effective to achieve in the treated patients a reduction in protein aggregation and may also include but is not limited to improved survival rate, more rapid recovery, or improvement or elimination of symptoms and are selected as appropriate measures by those skilled in the art.

While it is possible for the expression vector to be administered alone, it is preferable to present it as a pharmaceutical formulation. The formulations of the present invention comprise at least one active ingredient: the monoclonal antibody or expression vector together with one or more pharmaceutically acceptable carriers and optionally other therapeutic ingredients. The carrier(s) must be acceptable in the sense of being compatible with the other ingredients of the formulation and not deleterious to the recipients. The carriers must also be selected so as not to interfere with the activity of the active ingredient.

The availability of monoclonal antibodies which bind to a specific antigen at distinct and well defined sites has led to a better understanding of the effects of highly specific enzyme-antibody interactions on the enzyme behavior. By appropriate selection it has been possible to isolate those antibodies that are non-inhibitory to biological activity of the enzyme and bind at "strategic locations" on the antigen molecule, resulting in a considerable stabilization effect of the enzyme conformation. Moreover, such monoclonal antibodies, when properly selected, prove to have a chaperone-like activity leading to a considerable refolding effect on the enzyme which was already partially heat denatured. In addition, the use of engineered monoclonal antibodies and their fragments, as well as peptides which mimic the binding site for the antigen on the antibody can be used in the present invention.

Carboxypeptidase A shows a decrease in solubility with an increase in temperature, accompanied by loss of enzymic activity and conformational changes leading to its aggregation. In the present study, the suppression of enzyme aggregation via its interaction with two monoclonal antibodies raised against native protein was investigated. ELISA measurements and determination of residual enzymic activity, as a probe of the native structure, were used to monitor the protein aggregation process. The studied monoclonal antibodies are non-inhibitory to the biological activity of the antigen or target molecule, bind on the strategic position on the molecule and proved to have a chaperone-like activity in the prevention of protein aggregation. The antibodies effect on the inhibition of aggregation was found to be related to the location of the antigenic site of each antibody. Based on the experimental data, the formation of the immunocomplexes will provide a general and convenient method for suppression of aggregation and stabilization of the target molecules without affecting the biological properties of the

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given target molecule. The present invention uses genetically engineered antibodies obtained from such selected antibodies as protecting agents of *in vivo* aggregation of their antigen, leading to production of a soluble and stabilized protein.

Protein aggregation is of major importance that extends into mechanisms of human diseases and fundamental aspects of protein folding, expression and function. Data in literature (De Young et al., 1993; Wetzel, 1994; Wetzel, 1991) suggests that aggregation is non-specific in the sense that addition of other proteins can influence the extent of aggregation of a certain protein. However, the specificity can be related to a particular residue or group of residues which play a special role in the folding-related aggregation of a polypeptide (Silen and Agard, 1989; Zhu et al. 1989; Winter et al., 1994; Brems 1988). The identification of such classes of sequences that play a role in the folding-unfolding and/or solubilization-aggregation provides the basis of the present invention for the prevention of aggregation.

Stabilization procedures based on protein-protein recognition processes, fundamental to biology, have been previously investigated (Chothia and Janin, 1975; Jaenicke, 1991). Introduction of molecular chaperones which enable folding and stabilization of unrelated proteins appears to be tailored to prevent misfolding and aggregation at an early stage during folding. However, the central problem remaining in *in vivo* folding is how to efficiently prevent aggregation without blocking the forward pathway of correct folding and biological activity of the native state (Ellis et al. 1991; Gething and Sambrook, 1992; Hendrick and Hartl, 1993).

The availability of monoclonal antibodies (mAbs) led to a better understanding of the effect of highly specific antigen-antibody interactions on the antigen or target molecule behavior. The complementary conformation between the interacting regions of the antibody with its antigen confers the high specificity and stability to the immunocomplex formed (Goldberg, 1991). Properly selected monoclonal antibodies, unlike the ubiquitous nature of the chaperones, bind to a specific antigen at a distinct and preselected antigenic site without interfering in the biological activity of the antigen and assist in antigen refolding (Blond and Goldberg, 1987; Carlson and Yarmush, 1992; Solomon and Schwartz, 1995).

The present invention utilized the effect of immunocomplexation in the suppression of antigen aggregation using as a model system the interaction of Carboxypeptidase A (CPA) and its monoclonal antibodies. CPA occupies a prominent position in the literature of metalloenzymes, being a well-characterized zinc exopeptidase that exhibits both peptidase and esterase activity (Vallee and Galles, 1984). A large number of mAbs were prepared by the application towards native enzymes (Solomon et al. 1984) and their properties were widely investigated. Some of these antibodies bind to the enzyme with a relatively high binding constant, remote from its active site and assist in refolding of already heat denatured enzyme (Solomon and Schwartz, 1995). ELISA measurements and determination of residual enzymic activity as a probe of native structure are used to monitor the effect of two different mAbs, namely CP<sub>10</sub> and CP<sub>9</sub>, on the inhibition of CPA aggregation.

The above discussion provides a factual basis for the use of monoclonal antibodies and genetically engineered antibody fragments as therapeutics for the prevention of protein aggregation. The methods used with and the utility of the present invention can be shown by the following examples.

## METHODS AND REAGENTS

## Carboxypeptidase A (CPA)

CPA was obtained as an aqueous crystalline suspension (Sigma Chemical Co., St. Louis, Mo.). The crystals were washed with double-distilled water, centrifuged, and dissolved in 0.05M Tris-HCl/0.5M NaCl buffer, pH 7.5. Insoluble material was removed by centrifugation. The enzyme concentration was derived from the absorbance at 278 nm.

## Determination of CPA Enzymatic Activity

The enzymatic activities of CPA and its immunocomplexes were determined spectrophotometrically at 254 nm using either 1 mM hippuryl-L-phenylalanine as peptidase substrate or hippuryl-DL- $\beta$ -phenyllactic acid as esterase substrate in 0.5M NaCl/0.05M Tris-HCl, pH 7.5. (Solomon et al., 1989).

## Amyloid

Amyloid peptides, A $\beta$  1-40 (Cat. No. A-5813) and A $\beta$  1-28 (Cat. No. A-1084) corresponding to amino acids 1-40 and 1-28 of A $\beta$  respectively, were purchased from Sigma Chemical Co., St. Louis, Mo., USA).

Amyloid solutions were prepared by dissolving the peptides in water at concentration of 10 mg/ml. The stock solution was stored in aliquotes at -20° C.

## Aggregating agents

Heparan sulfate (Cat. No. H 5393) was purchased from Sigma Chemical Co., St. Louis, Mo., USA). Stock solutions of metal chlorides were made up from dry salts at concentration of 1 mM in TRIS pH 7.4.

## Monoclonal Antibody Production

In general, monoclonal antibodies may be prepared against a synthetic peptide based on the sequence, or prepared recombinantly by cloning techniques or the natural gene product and/or portions thereof may be isolated and used as the immunogen. Such proteins or peptides can be used to produce monoclonals by standard production technology well known to those skilled in the art as further described generally in Harlow and Lane, *Antibodies: A Laboratory Manual*, Cold Spring Harbor Laboratory, Cold Spring Harbor, N.Y., 1988 and Milstein (1980). Briefly, mouse monoclonal antibodies were prepared by hyperimmunization of an appropriate donor with the protein or peptide fragment, generally a mouse, and isolation of splenic antibody producing cells. These cells are fused to a cell having immortality, such as a myeloma cell, to provide a fused cell hybrid which has immortality and secretes the required antibody. The cells are then cultured, in bulk, and the monoclonal antibodies harvested from the culture media for use.

The harvested monoclonal antibody can be bound to a solid support substrate or conjugated with a detectable moiety or be both bound and conjugated as is well known in the art. For a general discussion of conjugation of fluorescent or enzymatic moieties see Johnstone & Thorpe, *Immunocytochemistry in Practice*, Blackwell Scientific Publications, Oxford, 1982. The binding of antibodies to a solid support substrate is also well known in the art. (see for a general discussion Harlow & Lane *Antibodies: A Laboratory Manual*, Cold Spring Harbor Laboratory Publications, New York, 1988) The detectable moieties contemplated with the present invention can include, but are not limited to, fluorescent, metallic, enzymatic and radioactive markers such as biotin, gold, ferritin, alkaline phosphatase,  $\beta$ -galactosidase, peroxidase, urease, fluorescein, rhodamine, tritium,  $^{14}\text{C}$  and iodination.

Alternatively, commercially available antibodies can be used.  $\alpha$ -Human  $\beta$ -amyloid 6F/3D was obtained from ACCURATE Chemical and Scientific Corp. (Westbury, N.Y., USA). mAb AMY 33 was purchased from ZYMED San Francisco, Calif., USA. A polyclonal, affinity purified rabbit IgG obtained against the synthetic Alzheimer  $\beta$ -amyloid (Cat. No. 1381431) was purchased from Boehringer-Mannheim, GmbH, Germany.

Purification and characterization of anti-CPA mAbs

- 0 The monoclonal antibodies, CP-10, CP-9, which interact with CPA at high binding constants, were selected for further study. The preparation and characterization of the monoclonal antibodies CP<sub>10</sub> and CP<sub>9</sub> (chosen for the present study) were previously described (Solomon et al., 1989;
- 5 Solomon and Balas, 1991).

These antibodies were isolated and purified by affinity chromatography on protein A-Sepharose from the corresponding ascites fluids according to Harlow and Lane.

Protocol for Determining Effect of Monoclonal Antibody

- 0 Binding on CPA Activity

CPA (1 mg/ml) was incubated at 50° C. in the absence and in the presence of increasing amounts of mAbs CP<sub>10</sub> and CP<sub>9</sub> (100  $\mu$ l in PBS) ranged between 0-2 molar ratio antibody/CPA. The enzymic activities of the immunocomplexes formed were measured as described herein above. Data related in percentage, 100% being considered the enzymic activity of CPA before denaturation.

ELISA Tests

- 0 The antigen-coating solutions (100  $\mu$ l containing native CPA (10-25  $\mu$ l/ml) in PBS, pH 7.4, were incubated overnight at 4° C. in a polystyrene ELISA plate (Costar, Cambridge, Mass.). Diluted ascites fluid (0.1 ml) containing the desired mAb (1:2000 to 1:18,000 v/v in PBS) was added and incubated at 37° C. for 1 hour. The amount of bound mAb
- 5 was determined with  $\beta$ -galactosidase-linked F(ab)<sub>2</sub> fragments of sheep anti-mouse IgG (Amersham International, UK).

- 0 The quantitation of the amount of aggregated CPA during denaturation at 50° C. was determined by competitive and
- 3 sandwich ELISA, as follows:

Competitive ELISA Assays

- 0 CPA (10  $\mu$ l/ml of PBS) was adsorbed onto ELISA plates overnight at 4° C., the remaining active groups on the plate being blocked with non-fat milk. To the soluble CPA (200 ng
- 5 in 10  $\mu$ l PBS), incubated for one hour at 50° C., the mAb CP<sub>10</sub> (molar ratio 1:1 Ab/CPA) was added and allowed to interact with the remaining soluble CPA for one hour at 37° C. In parallel, the mAb was added to the CPA solutions before exposure at 50° C. for one hour. After incubation, the
- 2 CPA preparations were removed by centrifugation at 15,000 rpm for 15 minutes and applied on the ELISA plates coated with CPA. The antibody which did not bind to soluble CPA in the reaction mixture will bind to the coated CPA; the amount of antibody bound to the coated antigen will be
- 3 conversely proportional to the extent of CPA aggregation and determined using  $\alpha$ -mouse antibodies labeled with horseradish peroxidase (HRP). The color developed by HRP (0-phenylenediamine (OPD) as substrate) was measured at OD<sub>495</sub> using an ELISA plate reader. The amount of antibody
- 2 bound on the coated CPA in the absence of soluble CPA was considered as 100%.

Sandwich ELISA

- 0 The ELISA plates were coated with rabbit polyclonal antibodies raised against CPA (1  $\mu$ l/well) by incubation at
- 3 37° C. for two hours. The residual active groups were blocked by non-fat milk. Soluble CPA (200 ng in 10  $\mu$ l PBS) was exposed to 50° C. for one hour and the aggregated CPA

was removed by centrifugation at 15,000 g for 15 minutes. The residual soluble CPA was incubated for another one hour at 37° C. with mAb CP<sub>10</sub> and mAb CP<sub>9</sub> at various molar ratio antibody/antigen. In another set of experiments, the mAbs were added to the reaction mixtures before incubation at 50° C. and then exposed for one hour at 50° C. After the incubation period, all the immunocomplexed CPA preparations were centrifuged and added to the ELISA plate, previously coated with polyclonal CPA antibodies, for 12 hours at 4° C. The amount of mAb bound, determined as described above, will be proportional to the amount of soluble CPA which remained after exposure to aggregation conditions. The results are presented in percentages, 100% being the maximal absorbance obtained before CPA heat treatment.

All data presented are the mean of triplicate determinations. The standard deviation of the intra-assay and interassays were less than 5% in all cases.

#### Amyloid ELISA Assays

The ELISA plates were coated with rabbit polyclonal antibodies (Boehringer-Mannheim) raised against synthetic  $\alpha$ -amyloid (1-40) (Sigma) (100 ng/well) via covalent attachment to epoxy-coated ELISA plates by incubation at 4° C. for 16 hours. The residual epoxy groups were blocked by non-fat milk. The reaction mixtures containing aqueous solution of  $\alpha$ -amyloid (100 ng/ml), heparan sulfate (50 mM) and/or chloride metal solutions ( $10^{-3}$  M at pH 6.5), were incubated at 37° C. for three hours. The aggregated  $\beta$ -amyloid preparations were removed by centrifugation at 15,000 g for 15 minutes. The residual soluble  $\beta$ -amyloid was incubated for another one hour at 37° C. with mAbs AMY 33 and/or 6F3D at equal molar ratio antibody/antigen. In another set of experiments, the mAbs were added to the reaction mixtures before incubation at 37° C. and then incubated together for 3 hours at 37° C. After the incubation period, the immunocomplexed amyloid preparations were added to the ELISA plates, previously coated with polyclonal anti-amyloid antibodies. The amount of mAb bound will be proportional to the amount of soluble amyloid which remained after exposure to aggregation conditions.

The amount of bound antibody was determined using a-mouse second antibodies labeled with horseradish peroxidase (HRP). The enzyme activity of HRP is directly proportional with the amount of residual amyloid bound to rabbit polyclonal antibodies. The enzyme activity of HRP was measured using O-phenylenediamine (OPD) as substrate. The color developed was measured at A<sub>495</sub> using an ELISA reader. Data represent the mean of triplicate determinations. The standard deviation of the intra-assay and interassays were less than 5% in all cases.

#### EXAMPLE I

Aggregation of heat denatured CPA was followed by determination of the residual enzymic activity of CPA using esterase and peptidase substrates. CPA (1 mg/ml) was incubated at various temperatures for one hour, and residual enzymic activity was determined. The temperature of 50° C. was chosen for further study. At this temperature, mAbs studied keep all their immunological activity (personal data). Effect of immunocomplexation of CPA with its mAbs was monitored by: (1) Determination of enzymic activity and (2) ELISA measurements as described herein above.

Monoclonal antibodies raised against native antigens proved to be powerful tools in identification and characterization of folding steps by recognition of incompletely folded antigens (Mendrick and Hartl, 1993). The selected antibodies might interact at sites where protein unfolding is

initiated, thereby stabilizing the protein and suppressing further aggregation.

The main difference between mAbs and molecular chaperones is that the latter does not bind to native proteins and is capable of interacting with many different polypeptide chains without exhibiting an apparent sequence preference (Goloubinof et al., 1989). Moreover, chaperones suppress aggregation but do not redissolve aggregate already present.

The aggregation of CPA and loss of its enzymic activity was found to be dependent on the temperature and the time of incubation (FIGS. 1, 2). Esterase activity seems to be more affected at higher temperature than peptidase activity, indicating that these activities follow different reaction mechanisms (FIG. 2). These data are compatible with applicant's previous results (Solomon et al., 1989; Solomon and Balas, 1991), as well as with the findings of Vallee and his collaborators (1969), who postulate that the active site of CPA consists of non-identical but interacting binding sites for peptides and ester substrates. As shown in FIG. 2, the immunological recognition of partially heat denatured enzyme is better conserved than its residual enzymic activity.

The inhibition of CPA aggregation, induced by incubation at 50° C. for one hour by its interaction with two mAbs, CP<sub>9</sub> and CP<sub>10</sub>, was followed by measuring the peptidase and esterase enzymic activities (FIG. 3). The two mAbs, CP<sub>10</sub> and CP<sub>9</sub> were chosen for this study on the basis of previous data regarding their effect on the enzyme behavior (Solomon and Schwartz, 1995; Solomon et al., 1989; Solomon and Balas, 1991). The protection of enzymic activity of heated CPA was dependent on the amount of antibody added to the enzyme and a molar ratio of 1:1 antibody/enzyme was sufficient for the maximum protection effect. The peptidase activity of the CPA-CP<sub>10</sub> complex was maintained at 90% of its initial activity in the presence of mAb CP<sub>10</sub>. The protective effect of mAbs on CPA activity during heat denaturation was found to be related to the location of the antigenic site of each antibody (FIG. 4). Even a great excess of unrelated antibody did not assist in maintaining CPA activity. Increase in preservation of enzyme activity can be reached, however, in the presence of a pair of two antibodies. This effect seems to be the result of a "locking" of the conformation caused by simultaneous interaction with two different antibodies at two distinct epitopes (Solomon and Balas, 1991).

The amount of aggregated CPA was quantitated by ELISA measurements. Disappearance of CPA, as a result of its aggregation during incubation for one hour at 50° C., was followed by a competitive ELISA assay (FIG. 5) and a sandwich assay (FIG. 6). The mAb, CP<sub>10</sub>, maintained 100% of the CPA activity in solution during heating for one hour at 50° C. (FIG. 6); CP<sub>9</sub> provided a slight effect on CPA protection at 50° C. Both antibodies prevent the aggregation of CPA, similar to the data shown in FIG. 4, recognizing "key positions" on the molecule responsible for heat denaturation and aggregation of CPA.

The biological activity of the enzyme seems to be more sensitive to high temperatures than the insolubilization process. Subtle heat-induced conformational changes occurring in CPA molecules are reflected by change in enzymic activity, even before transition between native-molten globule conformation-aggregated states occurred. These findings are in contradiction to previous suggestions that the biological function of a protein does not necessarily require fully folded protein (Hattori et al., 1993).

The antigen binding site of mAb CP<sub>10</sub> (previously named CP<sub>10</sub>) was identified as one of the immunodominant regions

of the enzyme, localized on the surface of the molecule between amino acids 209–218 (Solomon et al. 1989). The localization of the epitope recognized by CP<sub>9</sub> has not yet been clarified, but it does not interfere with the mAb CP<sub>10</sub> during simultaneous binding to CPA molecule, as suggested by additivity measurements (Solomon and Balas, 1991).

Similar effects in suppression of antigen aggregation were obtained after immunocomplexation of horseradish peroxidase.

The data available in literature suggests that for practically all the antigens it might be possible to prepare monoclonal antibodies which bind with high affinity without affecting their catalytic activity. Moreover, mAbs like the majority of immunoglobulins, are robust molecules and survive in a variety of environments, including high temperatures, low pH, denaturing agents. Formation of such immunocomplexes should provide a general and convenient method for suppression of aggregation and stabilization of their antigen without affecting the biological properties of the given antigen.

## EXAMPLE 2

This example investigates the immunocomplexation effect on the *in vitro* aggregation of  $\beta$ -amyloid. Aggregation of  $\beta$ -amyloid was found to be dependent on the pH, peptide concentration, temperature and time of incubation (Burdick et al., 1992). In applicant's experiments, the aggregation of  $\beta$ -amyloid was performed by incubation of aqueous solution of  $\beta$ A4 (10 mg/ml) for three hours at 37° C. The  $\beta$ -amyloid aggregation was followed by ELISA measurements using two different commercially available monoclonal antibodies raised against  $\beta$ -amyloid:  $\alpha$ -human  $\beta$ -amyloid 6F/3D obtained from Accurate Chemical and Scientific Corp, Westbury, N.J. USA, and mAb AMY 33 (Stern et al., 1990), purchased from Zymed, San Francisco, Calif., USA, raised against peptides 8–17 and 1–28, respectively, of the  $\beta$ -amyloid.

The addition of the antibodies was made before or after exposure of synthetic  $\beta$ -amyloid to the aggregation process (FIG. 7A, B). The aggregation of the  $\beta$ -amyloid was performed in the presence of heparan sulfate and/or metal ions, such as Zn<sup>2+</sup> and Al<sup>3+</sup>. The antibody AMY-33, which is supposed to recognize an epitope spanned between the sequence 1–28, inhibits the  $\beta$ -amyloid aggregation occurring in the presence or absence of heparan sulfate (FIG. 7A). Any significant effect on metal-induced amyloid aggregation was observed under the same experimental conditions. The mAb 6F/3D, recognizing an epitope located between the sequence 8–17 of the  $\beta$ -amyloid, interferes with Zn<sup>2+</sup>-induced aggregation, showing a partial solubilization effect on already aggregated  $\beta$ -amyloid, but has no effect on other aggregating agents (FIG. 7B).

Metals, such as Zn<sup>2+</sup> and Al<sup>3+</sup>, have been proposed as risk factors for Alzheimer's disease development (Mantyh et al., 1993; Frederickson, 1989; McLachlan et al., 1991). The aggregation of  $\beta$ A4 induced by aluminum is distinguishable from that induced by Zn in terms of role, extent, pH and temperature dependence (Mantyh et al. 1993). Although the precise site of interaction of metal ions and  $\beta$ A4 is not clarified, several residues in  $\beta$ A4 are candidates for metal binding. The  $\beta$ A4 histidine residues (His<sub>13</sub>–His<sub>14</sub>) may be implicated in fibril formation and it is conceivable that at least H<sub>14</sub> remains available for intermolecular electrostatic interactions between anti-parallel chains (Talfous et al., 1994). The site defined by Val<sub>12</sub>–His<sub>13</sub>–His<sub>14</sub>–Glu<sub>15</sub>–Lys<sub>16</sub>–Leu<sub>17</sub> has been identified as a sequence containing a heparan



sulfate binding domain (Fraser et al., 1992) and His<sub>13</sub> and Lys<sub>16</sub> are supposed to provide the cationic binding sites being exposed on the same face of the peptide  $\beta$  sheet (Talafoos et al. 1994).

- 5 Binding of mAb AMY-33 to  $\beta$ A4 prevents self-aggregation of the  $\beta$ -amyloid, probably by recognizing the sequence 25-28 located in the proposed aggregation fragment comprising the amino acids between 25-28 (Yankher et al., 1990) (FIG. 8). This antibody prevents intramolecular  
0 aggregation occurring in the presence of heparan sulfate, which is supposed to affect only the aggregation of preexisting amyloid fibers (Fraser et al., 1992). Inhibition of  $\beta$ -amyloid aggregation in the presence of mAb 6F/3D was partially effective only in the presence of Zn<sup>2+</sup>.

- 5 On the basis of applicants findings regarding other antigen-antibody systems studies (Solomon et al., 1989; Solomon and Balas, 1991), the formation of the immunocomplexes with selected, highly specific monoclonal antibodies, should provide a general and convenient method  
0 to prevent aggregation of the proteins without affecting their biological properties.

At least 15 different polypeptides are known to be capable of causing in vivo different forms of amyloidosis via their deposition in particular organs or tissues as insoluble protein  
5 fibrils.

Recent advances in antibody engineering technology, as well as in the development of suitable delivery systems (Haber, 1992; Pluckthun, 1992; Travis, 1993; Marasco et al.,  
0 1993) make it possible to develop functional small antibody fragments to serve as therapeutic chaperones for the treatment of Alzheimer's disease as well as other human amyloidosis diseases by gene based therapies.

Application of the above findings for in vivo aggregation, can confer to single chain antibodies (Pluckthun, 1992) or  
5 other engineered antibody fragments, a protective role in the renaturation of recombinant proteins.

Throughout this application various publications are referenced by citation or number. Full citations for the publications referenced by number are listed below. The disclosures of these publications in their entireties are hereby  
0 incorporated by reference into this application in order to more fully describe the state of the art to which this invention pertains.

5 The invention has been described in an illustrative manner, and it is to be understood that the terminology which has been used is intended to be in the nature of words of description rather than of limitation.

3 Obviously, many modifications and variations of the present invention are possible in light of the above teachings. It is, therefore, to be understood that within the scope of the appended claims, the invention may be practiced otherwise than as specifically described.

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What is claimed is:

1. A method of selecting an anti-aggregation molecule  
having the chaperone-like activity of anti-aggregation,  
wherein the anti-aggregation molecule is selected from the  
group consisting of a monoclonal antibody, a genetically  
engineered antibody antigen binding fragment, and a single  
chain monoclonal antibody, and wherein said anti-  
aggregation molecule binds to a bioactive native target  
polypeptide epitope with a high binding constant and is  
non-inhibitory to the biological activity of the target  
polypeptide comprising the steps of:

denaturing a target polypeptide which aggregates,  
mixing the target polypeptide with said anti-aggregation  
molecule to form a mixture,  
incubating the mixture under conditions allowing for  
aggregation,  
selecting non-aggregated mixtures, and  
testing the nonaggregated target polypeptide coupled to  
the anti-aggregation molecule for bioactivity thereby  
selecting an anti-aggregation molecule with the  
chaperone-like activity of anti-aggregation which when  
coupled to the target polypeptide maintains bioactivity.

2. The method of claim 1 further characterized by the  
target polypeptide being  $\beta$ -amyloid.

3. A method of selecting an anti-aggregation molecule  
having the chaperone-like activity of anti-aggregation,  
wherein the anti-aggregation molecule is selected from the  
group consisting of a monoclonal antibody, a genetically  
engineered antibody antigen binding fragment, and a single  
chain monoclonal antibody, and wherein said anti-  
aggregation molecule binds to a bioactive native target  
polypeptide epitope with a high binding constant, reverses  
aggregation and is non-inhibitory to the biological activity  
of the target polypeptide comprising the steps of:

preparing an aggregated target polypeptide,  
mixing the target polypeptide with said anti-aggregation  
molecule to form a mixture,  
selecting mixtures with non-aggregated target  
polypeptides, and  
testing the target polypeptide coupled to the anti-  
aggregation molecule for bioactivity thereby identify-  
ing an anti-aggregation molecule with the chaperone-  
like activity of anti-aggregation which when coupled to  
the target polypeptide maintains bioactivity.

4. The method of claim 3 further characterized by the  
target polypeptide being  $\beta$ -amyloid.

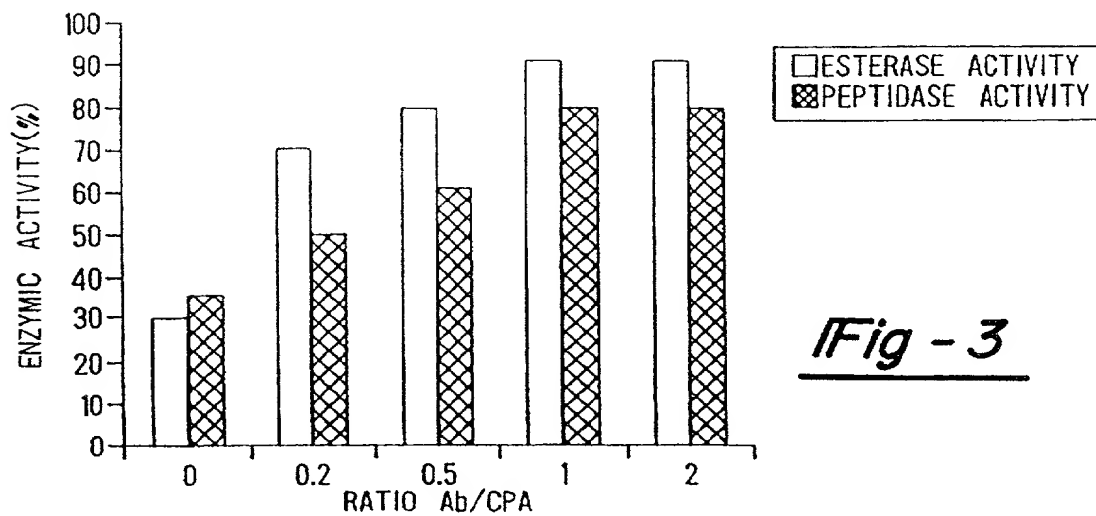
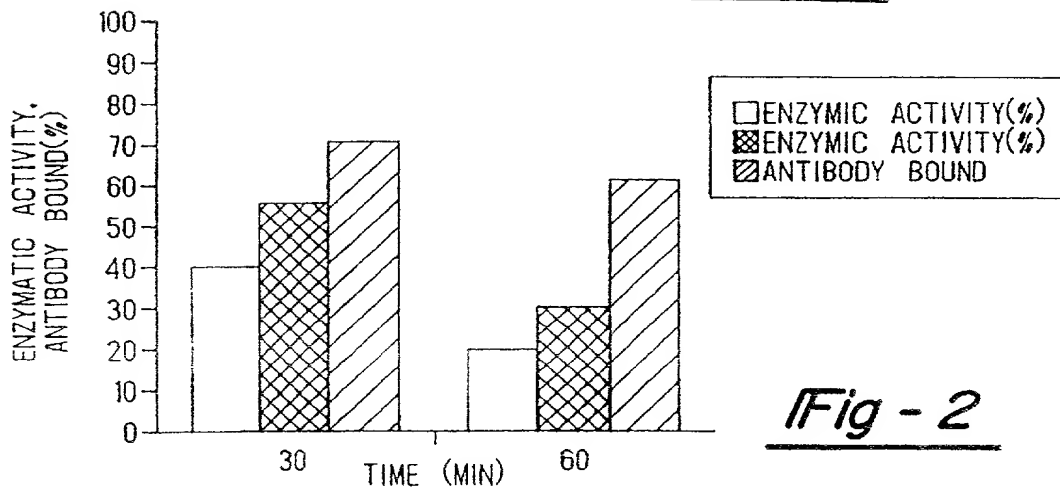
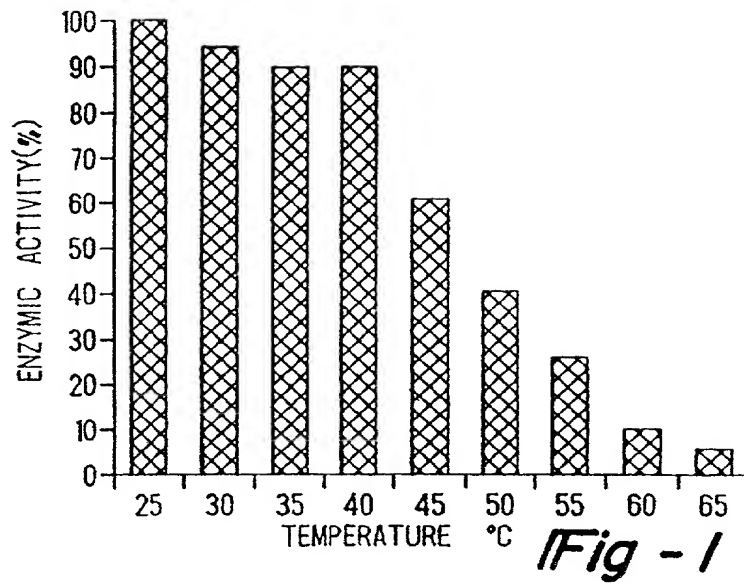
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# ABSTRACT

A method of selecting anti-aggregation molecules with chaperone-like activity that have characteristics including binding to a native target molecule epitope with a high binding constant and are non-inhibitory to the biological activity of the target molecule. The method [molecules] involves denaturing a target molecule in the presence of presumptive antiaggregation molecules to prevent the target molecules from self-or induced-aggregation. The nonaggregated target molecule coupled to the anti-aggregation molecule is then tested for bioactivity.



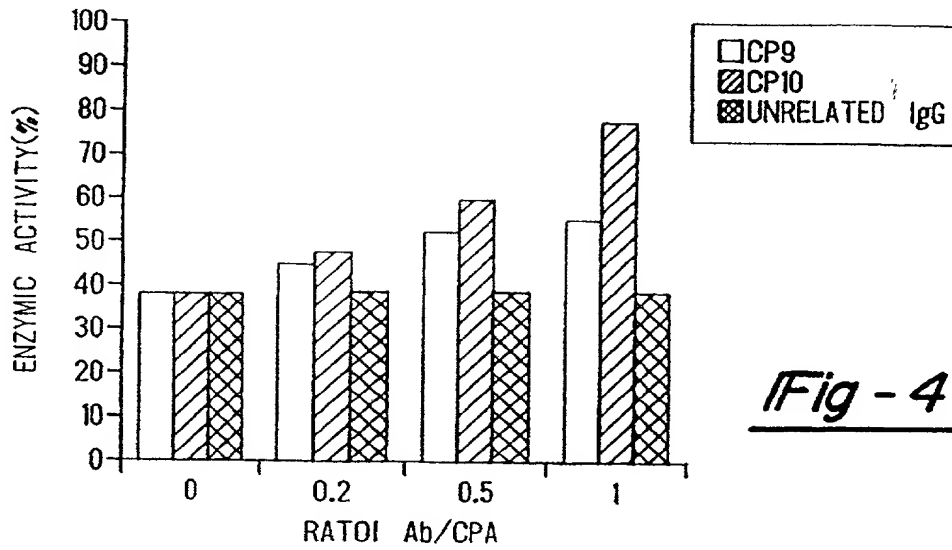


Fig - 4

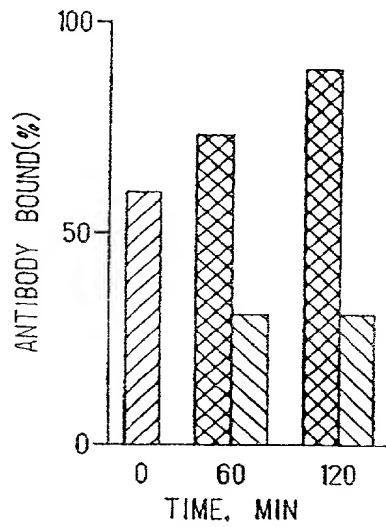


Fig - 5

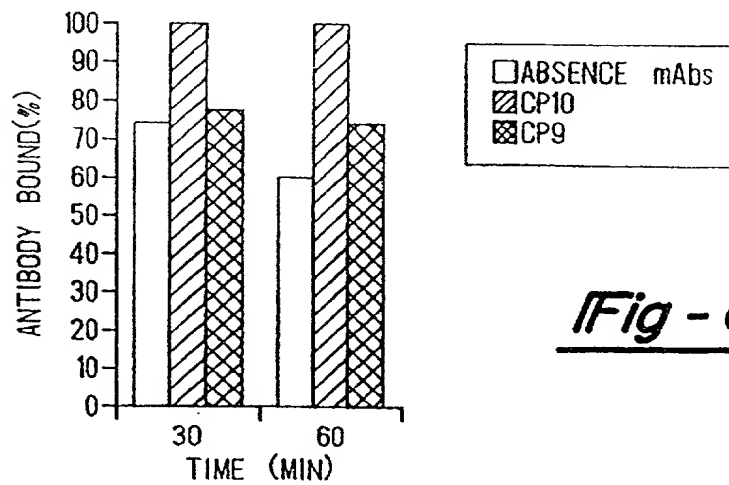


Fig - 6

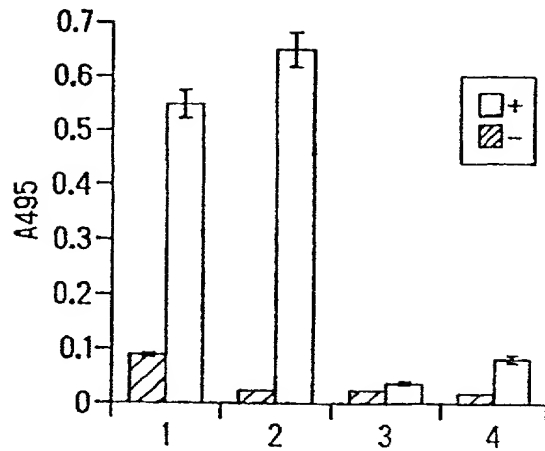


Fig - 7A

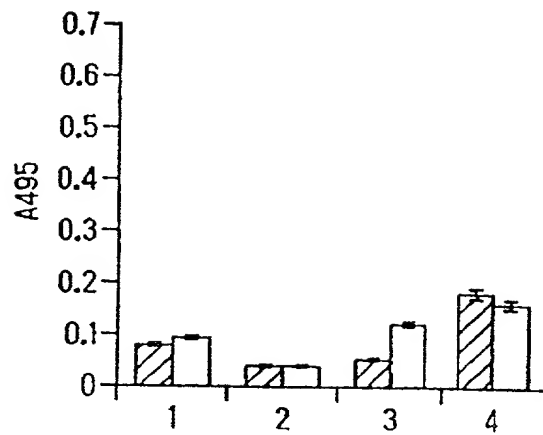


Fig - 7B

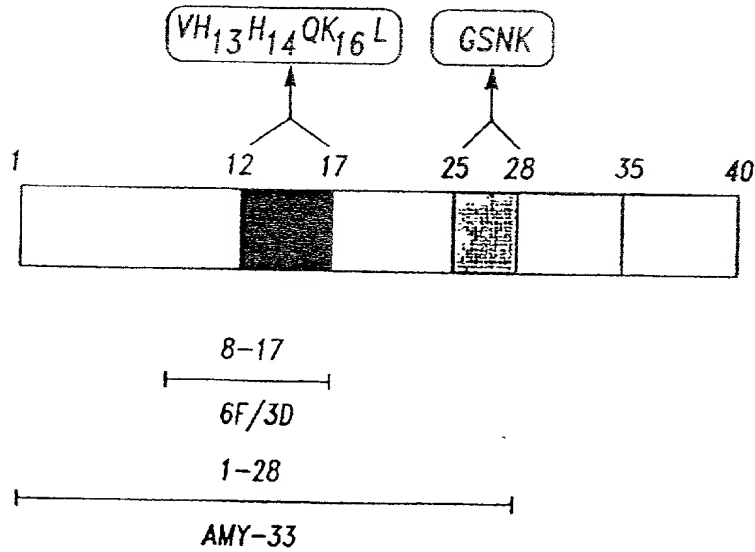


Fig - 8

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**REISSUE APPLICATION DECLARATION BY THE INVENTOR, page 2)**

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All errors contained in this reissue application arise without any deceptive intention on the part of the applicant. As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment or both, under 18 U.S.C. 1001, and that even willful false statements may jeopardize the validity of this application, any patent issuing thereon, or any patent to which this declaration is directed.

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I hereby declare that:

My residence, post office address and citizenship are stated below next to my name.

I am authorized to act on behalf of the following company: Ramot University Authority For Applied Research & Development Ltd.  
and the title of my position with said company is: Assistant R&D Manager Patents & Technology Transfer

The entire title to the patent identified below is vested in said company.

Name of Patentee(s):

Beka Solomon

Patent Number

5,688,651

Date Patent Issued

November 18, 1997

Title of Invention

Prevention Of Protein Aggregation

I believe said patentee(s) to be the original, first and sole or joint inventor(s) of the subject matter which is described and claimed in said patent, for which a reissue patent is sought on the invention entitled

Prevention of Protein Aggregation

the specification on which

☒ is attached hereto.

☐ was filed on \_\_\_\_\_ as reissue application number \_\_\_\_ / \_\_\_\_\_  
and was amended on \_\_\_\_\_  
(if applicable)

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.58.

I verily believe the original patent to be wholly or partly inoperative or invalid, for the reasons described below. (Check all boxes that apply.)

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☒ by reason of the patentee claiming more or less than he had the right to claim in the patent.

☐ by reason of other errors.

At least one error upon which reissue is based is described as follows:

See attached

[Page 1 of 2]

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At the time the patent was in prosecution, all parties involved, i.e., Applicant, Assignee and the attorney involved in the prosecution of the patent application, were concentrating their efforts on the patenting of the presently claimed method of selecting an anti-aggregation molecule having the chaperone-like activity of anti-aggregation that issued in the 5,688,651 patent as claims 1-4, the only claims in the patent.

It was only recently that the Applicant/Patentee and the Assignee realized that the concepts fully disclosed in the specification directed to (1) an agent for preventing or reducing aggregation of an aggregating protein or for disaggregating preaggregated aggregates of the aggregating protein, as defined in claims 12-16, 27-31, 43-47, 56-57, 63-64 and 70-71; a (2) pharmaceutical composition for preventing or reducing aggregation of an aggregating protein or for disaggregating preaggregated aggregates of the aggregating protein, as defined by claims 16-20, 32-36, 48-52, 58-59, 65-66, and 72-73; a (3) method for preventing or reducing aggregation of an aggregating protein or for disaggregating preaggregated aggregates of the aggregating protein, as defined by claims 5-10, 21-26, 37-42, 53-55, 60-62, and 67-69; and the inventions defined in claims 74-87 were not claimed per se, and further they could be and should be now so claimed.

The failure to claim the above defined inventions was because of error and without any deceptive intent. A preliminary amendment presenting the proposed new claims is attached hereto. The applicant has reviewed these claims and believes to be the inventor thereof.

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All errors corrected in this reissue application arose without any deceptive intention on the part of the applicant.

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under 18 U.S.C. 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed.

Full name of person signing (given name, family name)

1) Hananel Kvatinsky

2), DR. RAMI FINKLER

Signature

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14 November 1999

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# REISSUE APPLICATION DECLARATION BY THE INVENTOR

Docket Number (Optional)

27/150

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is described and claimed in patent number 5,688,651, granted November 18, 1997, and for which a reissue patent is sought on the invention entitled Prevention of Protein Aggregation

the specification of which

☒ is attached hereto

☐ was filed on \_\_\_\_\_ as reissue application number \_\_\_\_ / \_\_\_\_\_  
 and was amended on \_\_\_\_\_  
 (If applicable)

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I verily believe the original patent to be wholly or partly inoperative or invalid, for the reasons described below. (Check all boxes that apply.)

- ☐ by reason of a defective specification or drawing.
- ☒ by reason of the patentee claiming more or less than he had the right to claim in the patent.
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At the time the patent was in prosecution, all parties involved, i.e., Applicant, Assignee and the attorney involved in the prosecution of the patent application, were concentrating their efforts on the patenting of the presently claimed method of selecting an anti-aggregation molecule having the chaperone-like activity of anti-aggregation that issued in the 5,688,651 patent as claims 1-4, the only claims in the patent.

It was only recently that the Applicant/Patentee and the Assignee realized that the concepts fully disclosed in the specification directed to (1) an agent for preventing or reducing aggregation of an aggregating protein or for disaggregating preaggregated aggregates of the aggregating protein, as defined in claims 12-16, 27-31, 43-47, 56-57, 63-64 and 70-71; a (2) pharmaceutical composition for preventing or reducing aggregation of an aggregating protein or for disaggregating preaggregated aggregates of the aggregating protein, as defined by claims 16-20, 32-36, 48-52, 58-59, 65-66, and 72-73; a (3) method for preventing or reducing aggregation of an aggregating protein or for disaggregating preaggregated aggregates of the aggregating protein, as defined by claims 5-10, 21-26, 37-42, 53-55, 60-62, and 67-69; and the inventions defined in claims 74-87 were not claimed per se, and further they could be and should be now so claimed.

The failure to claim the above defined inventions was because of error and without any deceptive intent. A preliminary amendment presenting the proposed new claims is attached hereto. The applicant has reviewed these claims and believes to be the inventor thereof.